







## 4. Architecture review of Maxtor HDD

### 4.1. Drive firmware

Maxtor drive firmware runs in RAM; it can be loaded from three sources. The first source is the masked ROM inside the processor chip (or internal boot ROM). The second source is Flash ROM (or external boot ROM) if it is installed, the third one is the drive's service area. Correct HDD identification and further work using logical sectors (LBA) require execution of the code stored in the electronics board with a subsequent reading and correct initialization of the code portion from the service area.

PCB firmware consists of two portions: masked ROM in the processor and an external parallel or serial Flash ROM. Such a scheme has been implemented most likely because masked ROM inside the processor cannot be modified without processor replacement. The processor is initialized from the external ROM. If the latter is missing or damaged, the firmware microcode will be started from ROM inside the processor. A situation is possible, when the microcode inside processor may belong to another drive family. E.g., CALIPSO drives with disconnected external ROM may be identified as N40P.

In Maxtor drives the service area is accessible through logical sectors specifically assigned for that purpose and called «UBA» (**Util Block Addressing**, somewhat similar to LBA), automatically converted by the microcode into respective physical location on disk surface taking into account the defects in the service area. The service area occupies the outer cylinders (disk edge) in half-size HDD and internal cylinders (closest to the parking zone) in full-size HDD.

Maxtor drives have a «safe mode» jumper. When it is enabled, only firmware stored on the PCB loads but the routine for starting motors and initialization of service data portion on disk is skipped. The «safe mode» jumper also influences the procedure of drive initialization in case, when an LDR file (or loader) is employed. Some initialization steps will be skipped. *That is why there is a difference between launch of an LDR file with the «safe mode» jumper enabled and disabled (see Fig. 4.1).* You can identify precisely the version of PCB firmware. In order to do so enable the «safe mode» jumper and launch the utility. The «Firmware» line will show ROM version. Besides, if you short-circuit the 5<sup>th</sup> and 6<sup>th</sup> contacts of the serial Flash chip at power-up, the version will change because doing so will force loading of firmware code from the processor. The alternative service area or ALT-SA is described in *section 4.5*.

Drive initialization for an operational condition requires complete replacement of the PCB firmware with the firmware copied from the service area on disk. If for any reason the firmware cannot be launched from the service area, the drive enters the «FAIL» state similar to «safe mode» because in both cases a drive is identified by an alias. To exit the «FAIL» state, you should load the whole firmware (ROM + overlays) to processor RAM using an LDR file. During the procedure, keep in mind that an LDR file contains just microcode (ROM copy and overlays) but it does not contain the data necessary for drive operation (defect lists, adaptive data and other settings). PCB microcode and firmware on disk have different versions. That difference helps to tell which version is currently being run by the processor. If the last character in version number is 'Z', it means that the initialization procedure has not been performed by the drive completely, e.g.: you may see WAK21R90 after a completely successful start and WAK21R9Z if the start has failed. In Poker/Ardent drive families the service area contains two programs for drive control

- ◆ program 1 consisting of modules: 38h, 39h, 4Fh;
- ◆ program 2 consisting of modules: 97h, 96h, 98h

Each of the microprograms exists in three variations denoted by the letters A, B or C in version number. E.g., in N40P drive family: NARxxxxZ, NBRxxxxZ and NCRxxxxZ. Version A is also assigned to boot ROM, but the actual program is totally different from the code recorded in the service area under the NARxxxxZ version.

Please note that different drive manufacturers (e.g., IBM, Samsung, etc.) approach loader objects from various viewpoints as regards the ideology behind their functioning. They are named identically because loader start is accomplished with all ATA drives using the DOWNLOAD MICROCODE (92h) command with the purpose of microcode update.

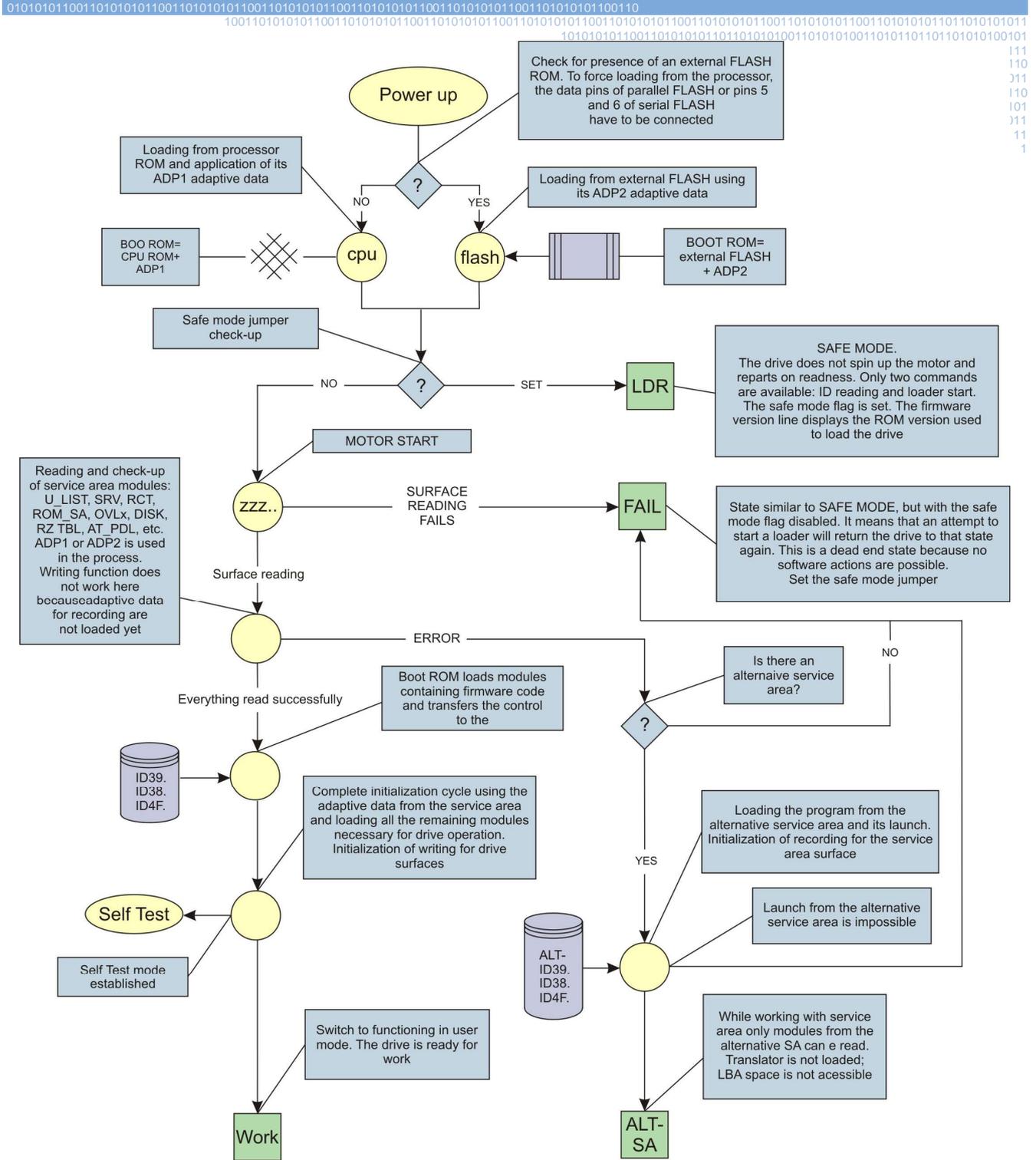


Fig. 4.1. Maxtor HDD start chart.

## 4.2. Identification of firmware version

Firmware version is recorded on the main HDA label; it may look like: 6E030L0510202C (MODEL+HDA+PCBA+UNIQUE). The utility displays the line in the «Utility status» dialog (see Fig. 4.2) taking it from the [LABEL] PN=48h module. If the module containing version number cannot be read, the utility does not display it (replacing it with dots).

Now let us examine a case, when we have to pick a functional board as replacement for a burnt one. If you take another drive with the same version, you will notice that in some cases board compatibility is hard to guarantee. The likelihood of incompatibility between HDA and PCB both in case of version match or a mismatch is quite low for all



### 4.3. Service data modules

Modules map in Maxtor drives contains no names of modules, though some of them still do have names. Such names are stored in the header of a respective module. Therefore, it is impossible to find out the name of a module without reading its contents. It is possible to introduce unified numbering of modules for various Maxtor drive families, i.e. the so-called position number (hereinafter ID or PN), that allows convenient identification of specific modules' purpose.

Service area data is organized according to the hierarchy shown in the Fig. 4.3. At its top you can see the physical drive space, where the service data can be placed. The space is assigned to a separate zone with its own data density. UBA sector numbering is introduced for each head (sequential numbering of sectors in a track first and then of the actual tracks), making up the space for a lower stage represented by the active service area. The service area is active drive uses for its operation just one head corresponding to the service area. The active service area consists of module groups; each group has a copy located within the described zone. Service data are always recorded to both copies at once thus preventing the use of the second copy if a module becomes damaged while writing because the other copy will be damaged, too. The «group of modules» (see Fig. 4.3) unites modules according to the following guidelines (one step lower in the hierarchy): «data modules», «firmware modules» and «techno modules». The drive uses its firmware modules for reading access only during regular operation while practically all data modules are used both for write and read access – that fact results in their damage in case of brief problems with recording. Techno modules are used at the manufacturing factory during the assembly process; they are not involved in normal drive functioning in any way.

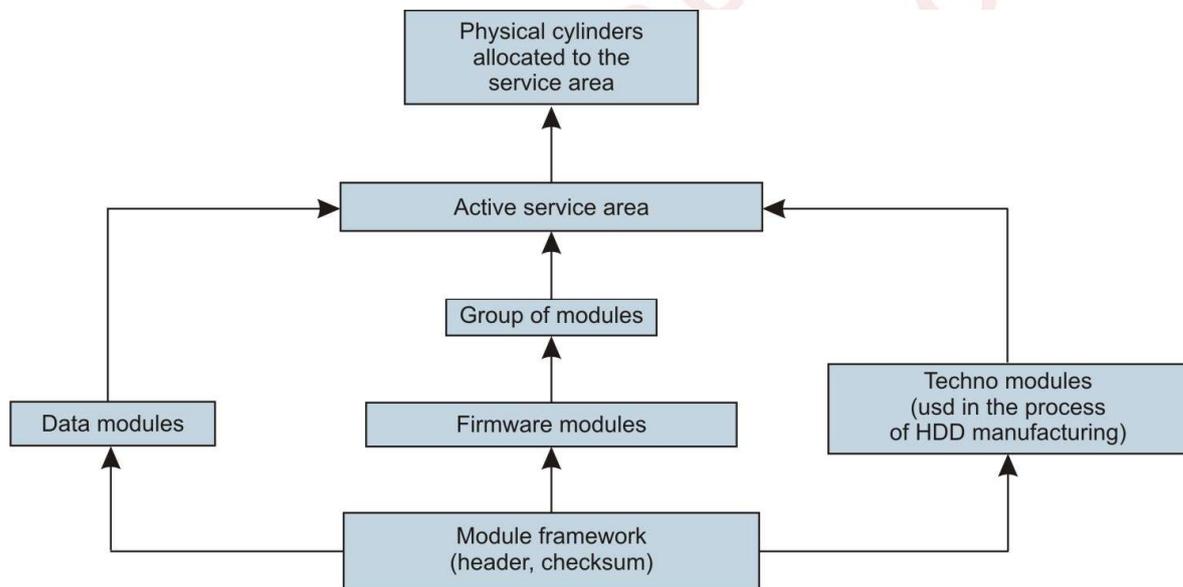


Fig. 4.3. Hierarchy of service area structure.

Table 1 contains a list of data modules. They are grouped in the ascending order according to their UBA; thus you can track their positional relationship. Table 2 contains a list of firmware modules. First three ones are modules containing user firmware while the following three contain the program used during drive manufacture. However, the latter is not present in all the HDD. Table 3 lists techno modules containing data. As a rule, they represent Self Test settings and its logs.

Module names in square brackets are assigned by utility developers.

Table 1. Data modules in service area of Maxtor drives.

| Pos. number (PN), hex | Module purpose                             | Importance |
|-----------------------|--|------------|
| 37                    | U_LIST – service area translator           | Ad         |
| 1F                    | DISK – drive ID.                           | B          |
| 78                    | RZTBL – zone table (translator component). | Ad         |
| 18                    | AT_PDL (P-List, translator component).     | Ad         |



Codes of the «Importance» column in Tables 1, 2 and 3:

- ◆ **A** essential module for a specific HDD: As – adaptive settings, Ad – translator tables. Replacement of the module with an identical module from another drive will result in the loss of reading/writing settings and data loss (e.g., adaptive data modules);
- ◆ **B** the module is necessary but it but can be replaced with one from another drive. Sometimes version and module match may be required.
- ◆ **C** the module is necessary but partial module corruption does not prevent drive from starting. The drive may correct module contents (recalculated automatically during the procedure of defects relocation) independently in certain circumstances.
- ◆ **D** the module does not affect drive operability. The category usually includes informational modules. Dd – original defect lists used to generate drive translator. «Dr» stands for techno Self Test modules.

#### U\_LIST (PN=37h) service area translator.

The drive uses the presence or absence of this module to identify the active service area. It may be preserved in up to 8 copies. Only two of them are indicated in the module table. The copies are accessed via the UBA range read function in the “View modules” mode (*section 6.2.1.2*). The U\_LIST module has an individual structure for each head; the structure includes an explicit indication of head number and the table of service area defects for that head. The module also contains the information about the number and addresses of defective sectors in the service area. It also indicates the number of actually used sectors in the P-List (PN=18h) module. It means that if P-List module is borrowed from another drive, the firmware will receive incorrect information about its length being unable to use the module (the checksum will be invalid). If the table of defects for the service area contains hidden defects, then data in U\_LIST can be considered unique (overwriting the U\_List module with a copy borrowed from another drive will cause a shift in service area resulting in a seriously complicated HDD restoration). If there are no hidden defects, you can specify in U\_LIST the correct value for the used portion of the P-List module to allow using the module copied from another HDD (you will have to recalculate module checksum using the corresponding extension command in HEX editor). The general procedure will be added in future manual editions.

Drive firmware sometimes overwrites the module during operation. As a result a drive may fail to record it (in case of a write failure) losing the information about the service area defects and the used length of the P-List module. During translator regeneration, P-List length in the U\_LIST module is corrected automatically.

#### DISK (PN=1Fh) configuration module.

The module contains information about drive configuration, such as model name, serial number, maximum LBA, physical head map and the number of heads. Physical head map is not the main one. The head map acting as the basis for translator generation is stored in drive firmware.

#### RZTBL (PN=78h) module.

The module is a part of drive translator. It contains the zone allocation table for the drive. Each zone corresponds to its own sequence of defects in the P-List module. RZTBL contains the number of heads used for translator generation.

#### AT\_PDL (PN=18h) module.

The module contains the factory table of defective sectors in user area. Information about defects in that module is recorded in format corresponding to the zones described in RZTBL.

#### AT\_POL (PN=1Bh) module and a copy thereof (PN=A7h)

G-List table of defects growing during drive operation. Its copy (PN=A7h) can be found in N40P and CALYPSO drives manufactured in 2004 and in SABRE drive family only.

#### FMTI (PN=93h) module.

This module is used in full-sized drives<sup>1</sup>. It contains zone allocation tables and adaptive settings for each zone; therefore the module is essential for access to data.

#### «RCT» (PN=21h) module.

The structure and purpose of that module are not completely clear so far, but its overwriting with a copy borrowed from another drive does not cause drastic functionality loss (the number of BAD blocks will increase). The drive will

<sup>1</sup> – Tables of drive family specifications in chapter 9 indicate full-size and half-size families.



|       |    |                       |    |   |
|-------|----|-----------------------|----|---|
| 2     | Dr | [STEL_02]             | –  | Factory self-testing error log.   |
| 3     | Dr | [STHL_03]             | FM | Factory self-testing log.   |
| 4     | Dr | [STHL_04]             | FM | Factory self-testing log.   |
| 5     | Dr | [STHL_05]             | –  | Factory self-testing log.   |
| 6     | Dr | [STHL_06]             | –  | Factory self-testing log.   |
| 7     | Dr | [STHL_07]             | –  | Factory self-testing log.   |
| 8     | Dr | [STHL_08]             | FM | Factory self-testing log.   |
| 9     | Dr | [STHL_09]             | –  | Factory self-testing log.   |
| A     | Dr | [STHL_0A]             | –  | Factory self-testing log.   |
| B     | Dr | [STHL_0B]             | –  | Factory self-testing log.   |
| C     | Dr | [STHL_0C]             | –  | Factory self-testing log.   |
| D     | Dr | [ST_CFG]              | FM | Factory self-testing configuration.   |
| E     | Dr | [ST_SCRIPT]           | FM | Factory self-testing script. It is displayed as a table in the interactive Self Test mode.                    |
| F     | Dr | [ST_TST_0F]           | FM | Test pattern. These modules are used for surface testing during the factory Self Test procedure.              |
| 10    | Dr | [ST_TST_10]           | –  | Test pattern. These modules are used for surface testing during the factory Self Test procedure.              |
| 11    | Dr | [ST_RES]              | FM | Self Test status sector.  |
| 12    | Dr | [STEL_12]             | FM | Factory self-testing error log.   |
| 13    | Dr | [ST_RCT_13]           | FM | Module containing the read channel settings template (RCT). It is used in the factory self-testing procedure. |
| 14    | Dr | [ST_RCT_14]           | FM | Module containing the read channel settings template (RCT). It is used in the factory self-testing procedure. |
| 15-17 | –  | [UNK_15] – [UNK_17]   | –  | Purpose unknown.  |
| 19    | –  | [UNK_19]              | –  | Purpose unknown.  |
| 1A    | C  | SECU                  | FM | SECU – security system module (ATA passwords).  |
| 1C    | –  | [UNK_1C]              | –  | Purpose unknown.  |
| 1D    | B  | DMCS                  | FM | DMCS translator control.  |
| 20    | –  | [UNK_20]              | –  | Purpose unknown.  |
| 22    | B  | ATAF                  | FM | ATAF – ATA settings flags, in DSP drives the header consisted of double A letters.                            |
| 23    | C  | AT_POL 2              | FM | G-List module in HDD using the translation type =2 (see the drive family specifications).                     |
| 24-2B | –  | [STHL_24] – [STHL_2B] | –  | Factory self-testing log.   |
| 2C    | C  | AT_POL 2              | FM | (copy) G-List copy module in HDD using the translation type = 2 (see the drive family specifications).        |
| 2D    | Dr | [STHL_2D]             | FM | Factory self-testing log.   |
| 2E    | Dr | [STHL_2E]             | –  | Factory self-testing log.   |
| 2F    | C  | [SMRT_T]              | SM | Related to drive's SMART. Purpose unknown.  |
| 30    | C  | [SMRT_A]              | SM | Related to drive's SMART.   |



|       |    |                          |    |  |
|-------|----|--------------------------|----|--|
| 52    | –  | [UNK_52]                 | –  | Purpose unknown.   |
| 53    | –  | [UNK_53]                 | –  | Purpose unknown.   |
| 54    | Dr | [STL_54]                 | FM | Factory self-testing log. It is related to the stability test of the read/write heads.   |
| 55    | –  | [UNK_55]                 |    | Purpose unknown.   |
| 56    | Dr | [STL_56]                 | FM | Factory self-testing log.  |
| 57    | Dr | [STL_57]                 | FM | Factory self-testing log.  |
| 58    | Dr | [STL_58]                 | FM | Factory self-testing log.  |
| 59    | Dr | [STL_59]                 | FM | Factory self-testing log.  |
| 5A-5D | Dr | [STLH_5A] –<br>[STLH_5B] | FM | Factory self-testing log.  |
| 5E    | D  | EVTLG                    | FM | Associated with the G-List (there are in the main group). It stores information about the error events. It is used by the manufacturer for failure analysis HDD. |
| 5F    | Dr | [STLH_5F]                | FM | Factory self-testing log.  |
| 60-62 | Dr | [STL_60]-<br>[STL_62]    | FM | Factory self-testing log.  |
| 63    | C  | [SMRT_AC]                | SM | S.M.A.R.T. attribute copy.   |
| 64    | B  | MAXATG                   | SM | Different production information.  |
| 65    | C  | [SMRT_65]                | SM | Related to drive's SMART. Purpose unknown.   |
| 66    | C  | [SMRT_66]                | SM | Related to drive's SMART. Purpose unknown.   |
| 67    | C  | [SMRT_67]                | –  | Related to drive's SMART. Purpose unknown.   |
| 68    | Dr | [ST_CFG_68]              | FM | Factory self-testing log.  |
| 69-6F | Dr | [STL_69] –<br>[STL_6F]   | FM | Factory self-testing log.  |
| 70    | C  | [SMRT_SL]                | SM | S.M.A.R.T. Summary Log.  |
| 71    | C  | [SMRT_ST]                | SM | S.M.A.R.T. Self-Test Log.  |
| 72    | C  | [SMRT_HV]                | SM | S.M.A.R.T. Host Vendor Log   |
| 73    | C  | [SMRT_WT]                | SM | Related to drive's SMART. Purpose unknown.   |
| 74-76 | Dr | [STLH_74] –<br>[STLH_76] | FM | Factory self-testing log.  |
| 77    | Dr | [STL_77]                 |    | Factory self-testing log.  |
| 79    | Dr | [STLH_74]                | FM | Factory self-testing log.  |
| 7A    | Ad | U_LIST                   | FM | U_LIST – service area translator copy.   |
| 7B    | –  | [UNK_7B]                 | –  | Purpose unknown.   |
| 7C-7F | –  | [UNK_7C] –<br>[UNK_7F]   | –  | Purpose unknown.   |
| 80    | –  | [UNK_80]                 | –  | Purpose unknown.   |
| 81    | Dr | [STL_81]                 | FM | Factory self-testing log.  |
| 82    | Dr | [STL_82]                 | FM | Factory self-testing log.  |







has exceptions in N40P family because a drive with an alternate SA can be used to start a loader created from another drive without alternate SA. In that case the module table will contain a record of module 95h; however, an attempt to read it will demonstrate that it is empty.

The alternate service area is used during drive manufacturing for creation of the main SA and hiding of its defects; it contains the same collection of modules, but their content differs.

The alternative program version is NCRxxxx0 in N40P or YCRxxxx0 in Calypso family; its brief representation is «Program C». The program from the main SA is called «Program A», respectively.

In a HDD with an alternate SA, drive boot ROM after motor start reads the modules containing program code and the translator from the main SA checking their structure. If the structure turns out to be invalid of a module cannot be read, then loading switches to the alternate SA (*see Fig. 4.1*). A drive in that case initializes on its own using the alternate SA. It means that switching the drive back to operation with the main SA requires recovery of its translator and program modules. The opportunity for recording the main SA from another drive to an alternate SA is not thoroughly studied yet. We can only make assumptions about possible results. The program in the main SA is set up to work with its physical location despite its launch from the alternate SA. Boot ROM will run the code of program A from the alternate SA, but the data modules will still be read from the main SA. If you start a loader with program A, then the main SA will be linked to the UBA space; if you start it with program C, then the alternate SA will be linked instead. There is one more difference between the A and C programs: the overlays of program A are modified during Self Test while the overlays of program C remain the same for an identical checksum.

Microprogram C is unable to run the translator of the user zone and its adaptive modules are not suitable for reading that zone. As a result, such drive will not be visible in LBA.

In *section 4.1* we introduced the notions of: «program 1» and «program 2». It is essential to understand the difference between an alternate SA and program 2. It follows from the mechanism implemented in a drive for switching between HDD start with program 1 or program 2 using the PN=95h module. However, in case of an alternate SA there is no such switch. A drive switches in case of problems with the main SA.

Is there a way to switch between service areas on a functional drive? There are two methods for switching a functional drive to the alternate SA:

- 1) Start an alternative loader created from the drive, when it initialized using its ALT-SA.
- 2) You can also switch a drive from the main to its alternate SA by enabling the «Write all module copies» option in the «Utility status» dialog (*Fig. 4.2*), then modify a byte of the PN=18h module header in the module viewing mode ([Ctrl] + [Alt] + [2]) and save the module.

In some drives the «program 2» in the alternate (*section 4.1*) SA contains program A in its state prior to modification by Self Test; it has the same ROM version as the main one, which may be useful. To start a drive using that program:

- 1) enable the «safe mode» jumper
- 2) start an alternative loader
- 3) perform a «Restart using internal HDD SA», having selected «program 2».

In *chapter 9*, descriptions of N40P and CALYPSO drive families contain additional information about their alternate SA.

## 4.6. Translator in Maxtor drives

**Translator** is a complex of programs and tables, which performs conversion between logical sectors (LBA) used by the operating system and physical sectors of a HDD. In all Maxtor HDD factory defects (in service area and data zone) are hidden by exclusion from translation.

Translator program data are stored in the following modules: U\_LIST (PN=37h), AT\_PDL (PN=18h), and RZTBL (PN=78h). HDD forms its translator tables on the basis of the defect list (PN=33h). In that table, defects are listed in their general notation: cylinder, head, sector. Thus translator tables can be assembled from the defect list using the «Translator regeneration» command. If you overwrite translator modules (e.g., with copies from another functional drives in case, when native modules are damaged), then the utility will still display the same list of defects as it did before modification of translator modules; then translator regeneration will restore its actual values.



# 5. Launching the utility

During start the utility sends a command to read HDD ID and identify the «safe mode» status. If the «safe mode» is enabled in the *utility start* dialog (see Fig. 5.2), you will see the message: «HDD Safe Mode detected». The utility selects the family of the connected HDD automatically using the information received as response to the identification command. You can specify drive family manually, when you connect a HDD belonging to a family, which the utility cannot identify correctly or does not support.

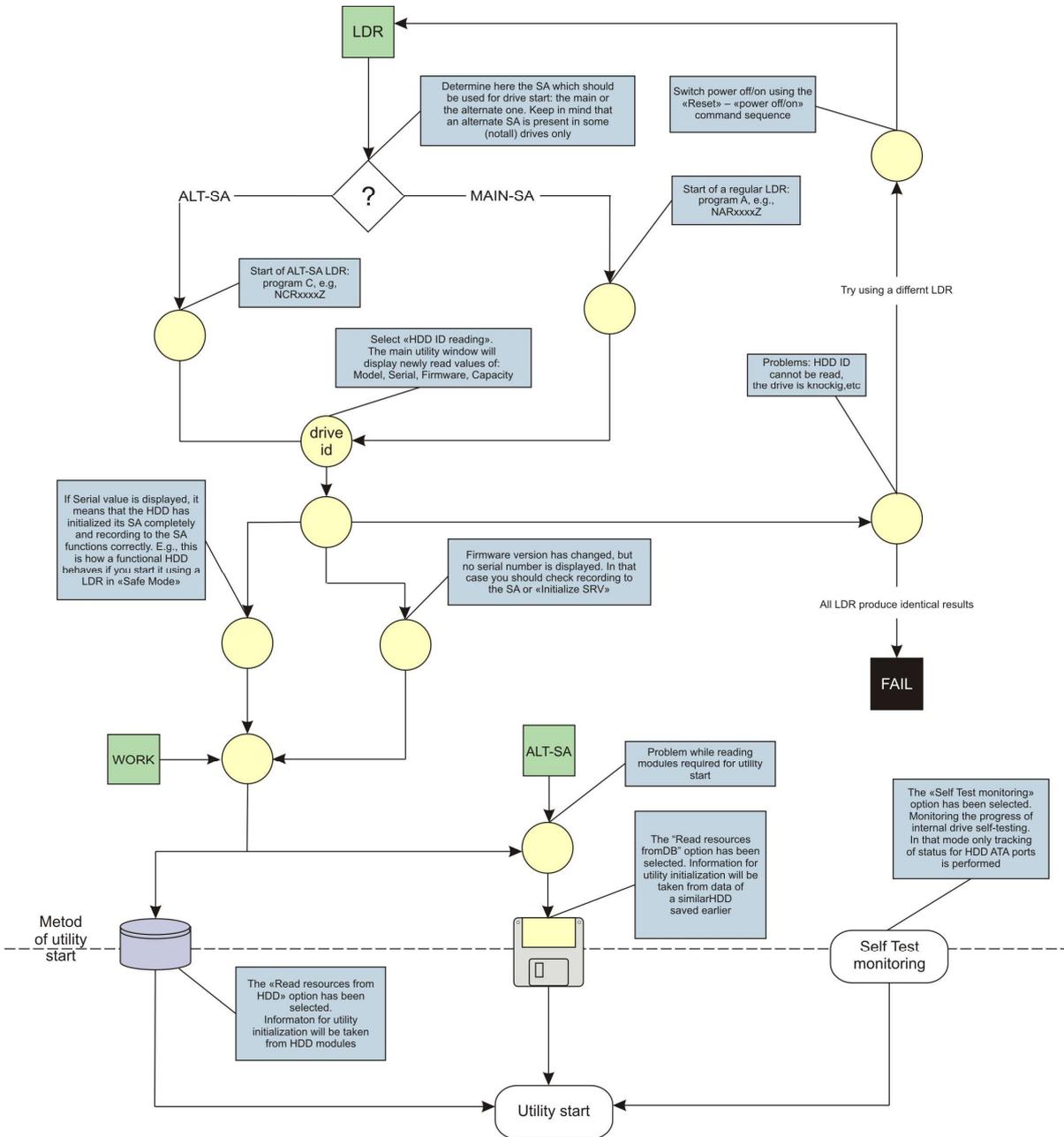


Fig. 5.1. Utility start chart.

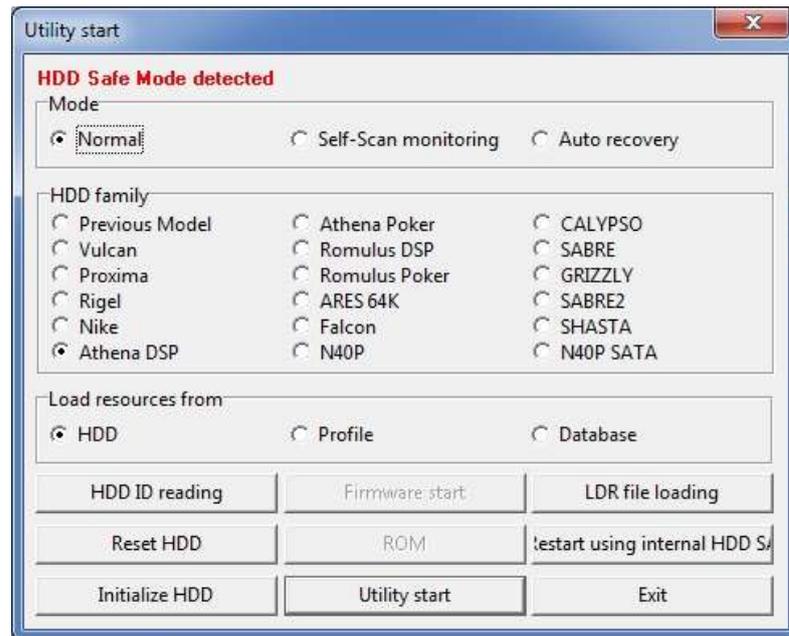


Fig. 5.2. Utility startup dialog.

The start dialog of the utility combines actions necessary for work with a HDD in «safe mode» to initialize the firmware in memory on drive PCB. Then drive family selection follows; the family is represented by its factory alias. The correspondence between drive families and specific models is discussed in chapter 9, «Description of peculiarities in Maxtor drive families».

Let us examine the sequence of utility start shown in Fig.5.1. Boxes in the scheme correspond to specific statuses: «Work», «LDR» and «ALT-SA» matching drive status during start (see the start chart in Fig. 5.1). The sequence of actions required for utility start is determined depending upon the status established by a drive at the start. There is also a separate start branch intended for reviewing of HDD registers while monitoring the Self Test process. In the Work status, while firmware is started, there is an opportunity to launch the utility in case, when one or more modules essential for initialization cannot be read: it is the «Read resources from DB» branch. E.g., if the DISK module required for utility initialization cannot be read from the service area (read error UBA=8), then the utility can be started using the module from an identical drive provided that its off-line start resources are available in the DB. Successful loader start brings a drive into status similar to «Work». Please see chapter 7 for methods of using loaders for drive start-up.

«Utility start» dialog features (see Fig. 5.2)

- 1) **HDD ID reading** updates configuration data in the «Model» and «Firmware» strings. That helps estimate the results of commands, which can be executed in protected mode, i.e. «LDR file loading» and «Firmware start».
- 2) **Firmware start** is a feature similar to the «Initialize from SA» command in DOS version of Maxtor utility. The command may be used when firmware reads the SA correctly, but the data thus read make it freeze. The start algorithm is explained in chapter 7.
- 3) **LDR file loading** means loader start from a file or database. Starting loaders is described in detail in chapter 7.
- 4) **Reset HDD** contains an additional submenu offering commands to perform «software» or «hardware» reset, or «Switch power on/off». Soft reset is necessary for step-by-step loader start. Hardware reset has no specific use so far. If LDR start has failed (the drive start knocking or hangs) you might try to «Switch power on/off» and retry using another loader.
- 5) **ROM** – reading or writing. The feature is available for N40P and CALYPSO drive families only. Enable the safe mode jumper to use it. The feature works flawlessly on drives with an external Flash ROM with serial access (ST25P10 or ST25P10A, size: 131072 bytes). The utility allows reading and recording not only for Maxtor HDD firmware, but for any other data as well. Thus it can be used as a programming







«Read module from SA» branch

To start a HDD using its logical parameters, valid and correct contents of the following modules must be read:

| Module | Purpose   |
|--------|---|
| U_LIST | Service area translator. The module contains the list of defects reassigned in the service area and the size of the AT_PDL module. In HDD using the newer translation system the module is called UA_LST.   |
| DISK   | The module contains the map of physical heads and some other parameters. It is identical in models with the same capacity (with very rare exceptions).  |
| FMTI   | Module containing the zone allocation table. If it is lost, selection of its substitute is a fairly complicated task, though it can be accomplished. Drives using just one magnetic head (e.g., N40P) or old models (made before 2002) have no such module. |
| RCT    | Module containing adaptive settings of the read channel.  |
| SRV    | Module containing adaptive settings of the positioning system.  |
| AT_PDL | Translator portion. The module is necessary for accounting of hidden defects. If an empty module or a copy from another HDD is used instead, it will result in shifts in user data. In HDD using the newer translation system the module is called PDM_LST. |
| RZTBL  | Translator portion. The module is necessary for accounting of hidden defects. Drives using the newer translation system, for example, Sabre 2, contain no such module.  |

«Read module from HDD profile» or «Read module from selected folder» branch

The opportunity to start a drive using a previously saved set of modules required for the procedure is implemented to address situations when an essential module is lost, or to allow restart. E.g., a drive may for some reason fail to read the RCT module (to the 0162000B\_21.rpm file). You can copy to the profile the module file from another drive and try to start the HDD. Availability of previously saved files allows you to specify before start the heads to use and to disable. The translator will start then considering the original number of heads.

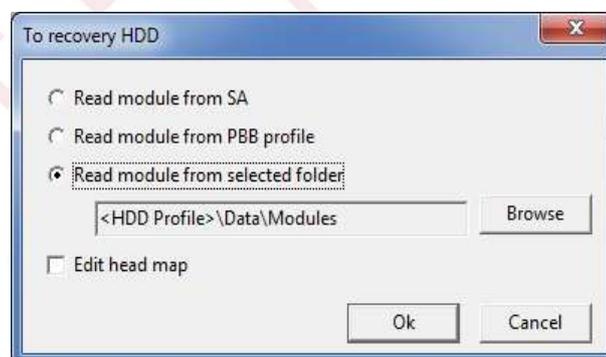


Fig. 5.9.

Automatic recovery branch involving the head map editing

Automatic recovery using modification of the heads map can be initiated from a HDD profile or from specified folder because the procedure requires a saved DISK module.

After the modules are loaded to HDD, you can proceed to work in the utility or additionally check logical access (Fig. 5.11). If you click «Yes», the utility will test a single track from each zone and each head and attempt to read the zero LBA.

To restart the drive, you do not have to exit the utility; just switch the HDD power supply off/on and initiate the recovery mode again instead.



### 5.2.2. Grizzly drive family

| Boot ROM version | Drive family | Interface | Available functionality  |
|------------------|--------------|-----------|--|
| HA3D2C6Z         | Grizzly      | PATA      | <b>ROM mode:</b> SA access for automatic mode, system head switching.<br><b>LDR mode: not available.</b> |
| HA432C0Z         | Grizzly      | SATA      | <b>ROM mode:</b> SA access for automatic mode, system head switching.<br><b>LDR mode: not available.</b> |

### 5.2.3. Shasta drive family

| Boot ROM version | Drive family | Interface | Available functionality   |
|------------------|--------------|-----------|---|
| KA102V0Z         | Shasta       | SATA      | <b>ROM mode:</b> System head switching. SA access is not implemented completely.<br><b>LDR mode: not available.</b> |

#### Peculiarities:

- ◆ This family is very rare, its normal support is complicated by insufficient supply of damaged drives; therefore, various issues are possible during interaction of the Maxtor utility with these drives.

### 5.2.4. N40P drive family

| Boot ROM version | Drive family | Interface | Available functionality  |
|------------------|--------------|-----------|--|
| NAN5248Z         | N40P         | SATA      | <b>ROM mode:</b> SA access for automatic mode.<br><b>LDR mode: available.</b>  |
| NAR5730Z         | N40P         | PATA      | ROM programming for N40P using processor series C5-C1.   |
| NAR6253Z         | N40P         | PATA      | <b>ROM mode:</b> SA access for automatic mode.<br><b>LDR mode: not available.</b>  |
| NAR62G3Z         | N40P         | PATA      | <b>ROM mode:</b> SA access for automatic mode. It is intended for N40P HDD with an alternate service area and PCB with a C5-C1 processor.<br><b>LDR mode: not available.</b> |
| NAR63G4Z         | N40P         | PATA      | <b>ROM mode:</b> SA access for automatic mode. Internal ROM of C9-C1 processor.<br><b>LDR mode: available.</b>   |

#### Peculiarities:

- ◆ After access using logical parameters (even reading of a single sector), the factory mode commands stop working. Consequently, there is no way to build the heads map. To bypass the problem, you have to cancel testing of logical access during the HDD start stage. Then leave the Maxtor utility running, switch to Data Extractor, create a task and build the heads map. You can proceed to LBA reading only after the map is built.



## 6. Utility features

Specific utility features can be invoked from the «Tests» and «Tools» – «Utility extensions» menu. The remaining functions are inherited from the universal utility (*see the description for the universal utility*).

**Table 4. Keyboard shortcuts for specific utility features**

| Mode                    | Keyboard shortcut |
|-------------------------|-------------------|
| SA testing and recovery | [Ctrl]+[Alt]+[1]  |
| View modules            | [Ctrl]+[Alt]+[2]  |
| Self Test               | [Ctrl]+[Alt]+[3]  |
| Test servo labels       | [Ctrl]+[Alt]+[4]  |

### 6.1. «Tests» menu

#### 6.1.1. Utility status

An opportunity to view the utility status and edit some of its parameters has been introduced to create a mechanism, which would warn about dangerous user actions and provide an opportunity to correct any errors possible during operation of automatic modes, which identify the status of connected HDD (*see Fig. 4.2*).

Displayed statuses:

- ◆ Drive family selected during utility start.
- ◆ ROM checksum read from ROM\_SA.
- ◆ Checksum of the ROM loaded to drive memory at the moment when the utility started. If the utility was started in the off line mode the parameter value will correspond to the drive from which the resources for off-line start were copied.
- ◆ A string containing the model and version from the module PN=48h.
- ◆ The drive was started using an LDR file.
- ◆ SA writing test has been performed successfully.
- ◆ Status of the drive's security subsystem.
- ◆ Utility start in the off-line mode.
- ◆ SA head identified during initialization. The parameter can be modified to provide for an opportunity to switch the utility to work with the SA using another magnetic head.
- ◆ «Write all module copies» flag – an option for the drive's techno command. It can be changed. While recording a module or UBA range, the HDD firmware saves duplicate copies on its own.
- ◆ SA copy – status parameter, which allows complete switching of the utility to work with a copy within the service area specified by the «SA head» parameter.

#### 6.1.2. Service information

##### 6.1.2.1. Work with service area

«Work with service area» unites all technological modes that enable operations over service data and microcode modules.

##### 6.1.2.1.1. SA surface verification

The test serves to check readability of UBA ranges occupied by copies 0 and 1 of module groups. Some modules, for instance, Self Test or PN=33h (defect list), have no copies. The test does not access service area portions, which do not affect drive operation. We recommend running the test solely for diagnostic purposes. There are no recovery features associated with it. Reassignment of defects in the service area is implemented in the «SA testing and recovery» interactive mode (*section 6.2.1.1*).



- 16) Security module check. The test displays information about ATA passwords. A drive may not function because of a password set in it. Sometimes a drive fails to recalculate the module checksum. Problems with the SECUR module checksum never prevent firmware start.
- 17) Test of S.M.A.R.T. modules reads them. No checksum testing follows, because HDD corrects checksum value after the ATA command to get SMART data. If the utility starts checksum testing an error may result in a false impression of module malfunction.
- 18) FMTI check. The module is used in full-size drives and contains various adaptive settings for zones.
- 19) Check-up of [DISK/PW] (or PN=95h). The module is employed by boot ROM to switch drive start between «program 1» and «program 2». If the module cannot be read or its checksum is invalid, then boot ROM will not start the SA firmware portion.

### 6.1.2.1.3. Reading / Writing modules

Reading and writing help preserve or overwrite completely all SA modules within a single copy thereof, provided they described in the module table. However, besides the modules there is a number of supplementary data not included into that table, e.g., the alternate SA or module copies.

Modules can be handled both in the old style of PC-3000 (DOS) or in a new manner using the database (9.1).

### 6.1.2.1.4. Modules group Reading / Writing

The option extends the opportunities provided by SA reading and writing by access to operations with copies of module groups. Please keep in mind that a group contains the same modules as those available for reading of individual modules plus inactive SA spaces between the modules. E.g., you can transfer all Self Test modules from one drive to another without enumeration, as a whole group.

If you need to overwrite a whole actively used part of service area you can certainly accomplish that working with groups of modules. Module groups are not included into work with the database because overwriting of the whole SA from another drive would be useless.

### 6.1.2.1.5. SA writing test

The test executes a techno command loading calibration adaptive data from the service area. If command performance completes with an error, it means that the module containing adaptive data is either missing or has damaged data structure. Then the command writes a single sector with random content and attempts to read it. If the read data do not match the recorded information, then the utility searches for the latter within the UBA range where recording has occurred. If it discovers the recorded data, the utility displays a message informing that «Data have not been written». If the data cannot be found, the utility returns an error telling that the offset cannot be found. Problems with SA recording are usually caused by the deviation of magnetic head parameters from the values specified in the module with calibration adaptive data.

**Attention!** In that case recording to SA is impossible; therefore, it cannot be restored. Although sometimes recording may function despite the failed test, SA recovery is very risky – you may end up with an irreparable HDD.

### 6.1.2.1.6. Module recovery

The feature works with 4 modules: DMCS, U\_LIST, AT\_POL, and AT\_PDL. In fact, firmware of Maxtor drives can modify the headers of those modules keeping their contents unchanged in case of any recording problems. If module header has been changed, the next drive start may result in an error or even cause the HDD to freeze. The feature works as follows: the utility reads a module from disk surface (reading errors make recovery impossible), corrects its header replacing it with a valid one, recalculates its checksum and writes the module back. Recording errors may cause the module to be written incorrectly or to another location, which may result in damage to neighbouring modules.

**Attention!** Therefore before the procedure you should save copies of all essential modules and run the «SA writing test».

### 6.1.2.1.7. Translator regeneration

The feature allows the user to rebuild translator modules PN=18h, PN=37h, PN=78h based on the data found in general defect list from the module PN=33h. Regeneration process clears the translator adding the defects from that list one by one using the techno command for defect assignment. There is an important peculiarity: if a drive had tracks hidden on the RZTBL level, they will be shifted to the P-List level. That may cause modifications in the interpretation of defects by the drive (which are hard to explain so far). No shift of user data occurs in that case.

### 6.1.2.1.8. Hardware translator regeneration

Hardware recalculation mode invokes a special feature of the drive, which builds the translator using the modules ID=33h and DMCS. Correspondence of the DMCS module to the structure of the module ID=33h is verified during the procedure. If discrepancies are detected, the utility generates correct content for the DMCS module on its own and initiates the translator regeneration (Fig. 6.1).



Fig. 6.1.

When P-List & G-List are cleared, the DMCS module is cleared as well, but the contents of the module ID=33h remain unchanged. When hardware regeneration is initiated, the utility compares the number of defects actually present in the ID=33h module and listed in DMCS. If the numbers do not match, the DMCS module will be corrected, with a subsequent translator regeneration.

### 6.1.2.1.9. HDD configuration changing

HDD configuration consists of various software settings and switches stored in the form of bit-maps in the ATAF (PN=22h) module. Selection of that menu item invokes reading of those parameters<sup>1</sup>; then the utility displays them in the «Basic options» tab as enabled or disabled checkboxes:

- ◆ «Auto Read Reallocation» – automatic addition of defects to G-List if a BAD sector is encountered while reading.
- ◆ «Auto Write Reallocation» – automatic addition of defects to G-List if a BAD sector is encountered while writing.
- ◆ «Security Set Supported» – enabling/disabling of the ATA password system in a drive.

It is frequently necessary to disable automatic hiding of defects during data recovery from a drive with defective surface. It allows the user to avoid unwanted attempts to write to the service area and cancel automatic reallocation of defective sectors by HDD firmware.



Fig. 6.2. HDD configuration changing.

<sup>1</sup> – HDD always produces an ABRT error while reading the parameters.

Enabled or disabled flags can be temporarily saved (until power-off) in RAM or for permanent storage in the SA. If you select SA, the data are written to module ATAF (PN=22h).

Clicking the «Reset...» button executes a techno command, which returns all drive settings to their default state. During the procedure, if the «Apply to» switch is to «RAM», then the reset will be performed in drive RAM only. The command is convenient because parameter reset enables LBA48 support without recording anything to the SA. You are also advised to reset the configuration by setting the «Apply to» switch to «SA» before running Self Test.

The «Advanced options» tab contains the «LBA48 support» and «Support for Self Test monitoring via COM port» settings. They are assigned to a separate tab, because they cannot be set in drive RAM; instead, the ATAF (PN=22h) module has to be modified directly.

#### 6.1.2.1.10. **Technological ID**

The menu item allows to view decrypted contents of the DISK module in a drive, and change the model name and serial number in it. The list of drive family models is taken from the module and in most cases it contains LBA, which do not correspond to actual addresses. It does not mean any problems of the list or the utility. We can consider it a peculiarity of the DISK module.

#### 6.1.2.1.11. **Security subsystem**

The item allows the user to view or clear the HDD passwords specified in the SECU module.

#### 6.1.2.1.12. **View extended zone allocation table**

This utility command appears if you have selected a drive family with a full-size HDA because half-size drives do not have an extended zone table. The command uses two methods to obtain the zone table: from drive RAM and from the FMTI module of the SA. The data will be output to the utility log. The command is intended for checks of utility compatibility with the zone allocation table of the connected HDD.

#### 6.1.2.2. **Loader**

LDR files or loaders are intended to start a hard drive in case of problems with start-up firmware initialization or for its online replacement (e.g., if the firmware fails to read correctly any of the translator tables). The firmware in that case enters the error state and prevents access to any of commands for access to data in the user and service areas. In that case you have to start the drive in such a manner as to prevent its standard initialization making the HDD skip it. Of course, many firmware algorithms in that case will be blocked, e.g. access to user data area or work with its defects, etc. Furthermore, the drive will not load its adaptive data so the commands for access to the service area may function erratically.

If a drive is completely functional or it has already been started using a compatible LDR file, you can create a native loader from that drive. Then restart the utility selecting the LDR file thus created at the start. The step would prevent incompatibility between an LDR file and the drive being repaired.

Loader start can be invoked from the utility start-up dialog (*see chapter 5*). The running utility provides access to the features for loader creation both from a connected drive or from profile files or database.

The «Utility off-line start resources reading» mode allows the user to add to the database modules from a functional drive, which may help start a malfunctioning HDD.

#### 6.1.2.3. **Work with DB**

##### 6.1.2.3.1. **Report on database resources**

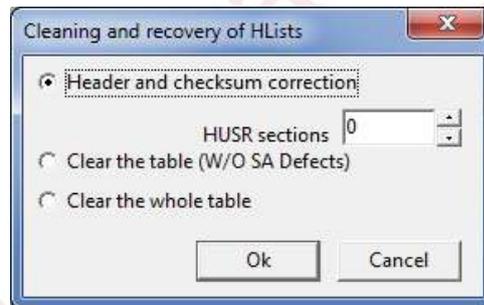
Maxtor utility allows generation of a report on DB contents for a selected directory: use the «Tests» – «Report on database resources». If you specify a folder, the utility will create a report for that folder; if you select the database root, it will create a report on the whole database portion pertaining to Maxtor drives. The report is generated as follows: first text data from the «HDD Info» are displayed and then you can view the profile data, which the utility receives automatically during initialization of a connected drive. The report is a convenient tool to check available data and arrange its various parts.







- ◆ **Module viewing** – opens the HEX editor window (this viewing module is identical to *section 6.2.1.2*), with the module contents loaded to it. Module sectors, which cannot be read, will be filled with double-byte hex words: 0DEh and 0ADh or «DEAD». The viewing procedure creates no backup module copies.
- ◆ **Rewrite module from DB** – provides an opportunity to overwrite the module from the table with an identical one from the DB. The «Rewrite module from DB» dialog offers an opportunity to run a module search. The checksum [ROM\_SA] will act as the search criterion. You can also specify the module manually. The module may be selected if the search produced no results. In that case, the utility controls UBA and module length. If you select an inappropriate module, the «Wrong module» error will be output to utility log.
- ◆ **Start SA testing** – the command launches testing process depending upon the selected mod.
- ◆ **Terminate process** – allows the user to stop the process of structure testing or module reading.
- ◆ **Recover module header and checksum** – allows header restoration for modules: DMCS, U\_LIST, AT\_POL, AT\_PDL and [HUTL/HUSR]. Restoration of the headers, except for [HUTL/HUSR], works in accordance with the algorithm described in *section 6.1.2.1.6*. *Figure 6.5* displays the dialog containing the parameters of [HUTL/HUSR] module modification. For the «Header and checksum correction» mode, you should specify the number of sections, because there is no way for the utility to identify it automatically if the module is corrupted. [HUTL/HUSR] module structure is described in *section 4.3*. The «Clear the table (W/O SA Defects)» feature leaves HUTL in its place and erases all HUSR tables leaving one copy with a correct header and checksum. The «Clear the whole table» function leaves in a module only two clear HUTL sections and a single HUSR.



*Fig. 6.5. HLists clearing and recovery.*

- ◆ **Show log** – the switch is available from the toolbar of the «Modules» tab to show/hide a window containing the log of module structure testing.

The «Defects» tab, when the mode is selected, loads the SA defects from the U\_LIST module using the active SA head. During SA test all revealed defects are added to the list and marked with yellow boxes. The toolbar provides access to the button, which serves for hiding the SA defects. Clicking it reads the modules, adds each defect to the SA translator and writes the SA data back. The mode has a number of drawbacks and limitations discussed in *chapter 8*.

### 6.2.1.2. View modules

The interactive mode allows loading of the following objects into the HEX editor: modules from the table of modules, ranges of UBA sectors and physical tracks. You can specify for a module the head, which can be used to read it. There are also some service functions, e.g. checksum recalculation and verification for a block or a whole module.

The process of object loading can be terminated. The HEX editor in that case will display as many data sectors as the utility has managed to read.

### 6.2.1.3. Self test

This interactive mode (*Fig. 6.6*) allows the user to run the techno self-testing routine, edit its script (Self Test program) and monitor the current status while a HDD passes the test.

| Step number | Test number | Cylinder                 | Error  |
|-------------|-------------|--------------------------|--|
| NN          | ID          | Name                     | Param1 Param2 Param3 Param4 Param5 Param6 Param7 |
| 0           | 0001        | Begin Test               | 0000 0000 0000 0000 0000 0000 0000               |
| 1           | 22F6        | unknown                  | 0001 0000 0000 0000 0000 0000 0000               |
| 2           | 43F5        | _Comment String          | 6D6F 6170 7463 7375 5720 6961 0074               |
| 3           | 0002        | Begin Loop               | 0000 0000 0000 0000 0000 000F 0002               |
| 4           | 0006        | Wait outside event       | 0000 0000 0000 0000 0000 0000 0100               |
| 5           | 0003        | End Loop                 | 0000 0000 0000 0000 0000 0000 0002               |
| 6           | 0090        |                          | 0081 0000 A000 0000 0000 5600 0000               |
| 7           | 008D        | set Aut                  | 8201 0000 0000 0000 2D00 0000 0000               |
| 8           | 0238        | Optimize                 | 0D80 FFFF 00FF 0000 0000 0037 0500               |
| 9           | 021C        | Extended Servo Calibrate | 2E80 E350 0000 0000 0000 0000 0000               |
| 10          | 0F38        | Optimize                 | 4482 FFFF 00FF 0000 0000 0037 0505               |
| 11          | 008D        | set Aut                  | 8201 0000 0000 0000 2D00 0000 0000               |
| 12          | 0004        | Set Pattern              | 0000 0000 0002 0000 0000 0000 0000               |
| 13          | 0005        | Use Pattern              | 0000 0000 0000 0000 0000 0000 0000               |
| 14          | 0028        | Flaw Scan                | 0082 0000 0200 0100 0002 0501 0001               |
| 15          | 000D        | Reassign                 | 0000 0000 0000 0000 0001 0000 0000               |
| 16          | 0030        | Servo Reassign           | 0000 0000 0023 0000 0002 0000 0000               |
| 17          | 0090        |                          | 0A81 0152 0000 0000 0000 3C48 0000               |
| 18          | 006A        |                          | 0101 0000 0000 0000 0000 0000 0000               |
| 19          | 006A        |                          | 0901 0000 0000 0000 0000 0050 0000               |
| 20          | 001C        | Extended Servo Calibrate | 0001 0000 0000 0000 0000 0000 0000               |
| 21          | 0089        | POWER_SWITCH             | 000 0000 0000 0000                               |
| 22          | 22F6        | unknown                  | 000 0000 0000 0000                               |
| 23          | 4EF5        | _Comment String          | 020 0000 0000 0000                               |
| 24          | 0038        | Optimize                 | 000 0000 002D 0300                               |
| 25          | 001C        | Extended Servo           | 000 0000 0000 0000                               |
| 26          | 008D        | set Aut                  | 000 2D00 0000 0000                               |
| 27          | 002D        | Butterfly                | 000 0002 012C 0006                               |
| 28          | 002D        | Butterfly                | 000 05DC E290 0002                               |
| 29          | 002D        | Butterfly                | 000 0002 012C 0006                               |
| 30          | 0006        | Wait outside ev          | 000 0000 0001 0003                               |
| 31          | 008D        | set Aut                  | 000 2D00 0000 0000                               |
| 32          | 0038        | Optimize                 | 688 8500 322D 0405                               |
| 33          | 001C        | Extended Servo           | 000 0000 0000 0000                               |
| 34          | 0036        | Load Optimize            | 000 0000 0000 0000                               |
| 35          | 0070        |                          | 050 03FR 0A01 0005                               |

Fig. 6.6. Window appearance in the «Self Test» mode.

When you initiate the mode (keyboard shortcut: [Ctrl]+[Alt]+[3]) the utility reads from the SA module PN=0Eh containing the test script and displays it as a table. The table shows both active program steps and inactive steps (test code 00 – or «End Test»). The right-click menu available from the list or window toolbar provides access to the following commands:

- ◆ **Close** – closes the interactive mode.
- ◆ **Read again** – reads again the Self Test script from the corresponding module in SA.
- ◆ **Save to HDD** – writes the script to module PN=0Eh in SA.
- ◆ **Save to file** – saves the script in the format of module PN=0Eh to the SelfScanData.bin file in the current profile.
- ◆ **Load from file** – loads from file a script in the format of module PN=0Eh. You can specify an RPM file corresponding to the PN=0Eh module.
- ◆ **Move record up** – moves the selected test one line up.
- ◆ **Move record down** – moves the selected test one line down.
- ◆ **Edit** – modifies a test record. The command displays a dialog window, where you can change text ID and its parameters.
- ◆ **Replace with NOP** – replaces the current test identifier with the «no operation» identifier (ID=F5h).
- ◆ **Launch Self Test** – performs correction of SA modules to launch Self Test.
- ◆ **Interrupt status monitoring** – discontinues the display of current Self Test status. You cannot exit the utility if you have launched status monitoring and haven't stopped it.
- ◆ **Directory of test titles** is a file containing the links between test identifiers and their names, e.g. the ID code of test 01, which corresponds to the record entitled «Begin Test». Titles are assigned to some tests, though not all of them; you can add your own titles or change the existing ones. The file is located in the directory, where PC-3000 for Windows is installed, its name is MaxtorSelfTests.ini.

Poker/Ardent drives do not display upper bits of cylinder number, therefore the «Cylinder» field values for them do not always reflect the reality. The error field shows the status of the drive error register. Usually it contains 1 as its value.

The fundamental principles of self-testing are described in *section 8.2*. Some peculiarities typical of different drive families are discussed in *chapter 9*.

#### 6.2.1.4. Test servo labels

Our plans include a number of graphic tools, which should help estimate the functioning of heads/surfaces. Currently the utility offers a PES test, which allows the user to check the average deviation of tracks from the centre. It is not intended for relocation of defects.

#### 6.2.1.5. Defectoscope

Please see the universal utility manual for a description of that mode.

## 7. Diagnostics of malfunctions

The task of data recovery from a Maxtor drive requires, first of all, precise diagnostics of malfunction, preferably without HDA disassembly; with simultaneous minimizing of further damage to the drive or data loss. Malfunctions, just like methods of diagnostics can be subdivided as follows:

- ◆ PCB malfunction.
- ◆ Motor/bearing failure.
- ◆ Parking element failure.
- ◆ Failure of one or more reading/writing.
- ◆ Heads failure and surface scratch.
- ◆ BAD sectors.
- ◆ Instabilities of reading/writing.
- ◆ Complete or partial loss of service information.

When the scope of problems is identified it is time to begin diagnostics. What of the above has happened?

### 7.1. Step 1: electronics

Let us begin with the electronics board. In order to make sure that the board is operational it is sufficient to connect it to another drive with the same firmware version and check, whether the drive works flawlessly with the board. Our guidelines for PCB selection on the basis of HDD version can be found in *section 4.2*. A HDD in that case may be started and identified with its factory alias and ROM version on the board, but a loader cannot be started in that case.

**Attention!** Malfunctioning PCB makes software restoration of the drive from within the utility impossible.

### 7.2. Step 2: mechanical/electrical parts

If the problem is not caused by electronics then motor diagnostics should be performed. If the motor does not spin up though the board is operational the cause is either damaged motor winding or heads sticking to disk surface. Sometimes the motor damage causes the motor controller chip failure. One more cause preventing rotation is seizure of a fluid dynamic bearing. Seizure practically does not occur in drives using ball bearings in spindle, but another problem appears, namely motor operation with obviously high noise level caused by a considerably greater disk beating. One more motor problem may be related to bad contact or cable break in the connector between the electronics board and HDA. As a result motor problems can be identified by the following signs:

- ◆ Winding closure or break.
- ◆ Seizure of fluid dynamic bearing (FDB).
- ◆ Motor operation with a considerable noise level.
- ◆ Problem with connection between the motor and electronics board.

**Attention!** A malfunctioning motor makes software restoration of a HDD impossible.



have to rebuild the list each time you start a loader because it is a rather long process. Then specify the loader to be started.

- ◆ Select «ROM + Overlays» in available start variations.

*If the start-up process passes without errors,* perform «HDD ID reading» and continue diagnostics based on the drive ID information:

- ◆ Correct HDD serial number appears, firmware version ends in '0' and drive model is identified correctly. In that case the loader program initialization succeeded completely and you can start the utility and proceed to Step 5.
- ◆ The serial number is not displayed, but firmware version ends in '0' and drive model is identified by its factory alias. It means that the loader failed to start the SA translator and read a number of modules required for initialization. That may be caused by the fact that some SA modules are unreadable. You may launch the utility and proceed to Step 5. There should be no errors while reading the roadmap of modules. An error while reading the DISK module is possible. In that case you should start the utility using the resources for its offline start.
- ◆ The serial number is not displayed, firmware version is identical to [ROM\_SA] or the one specified on HDA label and ends in 'Z'. That means that something prevented loader overlays from loading.
- ◆ The serial number is not displayed, firmware version matches the ROM version on the drive PCB. We have not encountered such cases in practical work. Most likely, it may be caused by a problem while starting the loader ROM.

*If the loader starts with errors:*

- ◆ Error while loading ROM or drive readiness error. Most probably, the safe mode jumper is disabled. Electronics malfunction has been excluded during Step 1.
- ◆ Error loading overlays. It may be caused by the failure to start of the loaded ROM. The loader file is likely to have an invalid structure or belong to a different drive family.
- ◆ After loading of overlays, the drive started its spindle motor and froze. In that case, you should try a different method to start the loader (see the «Procedure for loader start in case of HDD hanging during start-up» described below).
- ◆ After loading of overlays the drive starts the spindle motor and starts knocking, which continues more than a minute. It means that nothing can be read from disk surface. The situation is possible for CALYPSO drives only, when a HDD with recording density of 40 GB per side was started using a loader from a drive with the 30 GB per side recording density or vice versa. You should select the right loader. Please see *section 9.13* for guidelines regarding the selection. A drive may also produce knocking sounds because of PCB incompatibility with the HDA.

If drive start using a loader has failed, you will be unable to work with it since its memory contains no required code, which should be loaded from disk surface. To switch the drive to the state, when you can run factory (techno) commands, you have to start it using a LDR file.

Why does an «empty» SA prevent HDD start enabling reading/writing? In fact, the code portion constituting the loader file is actually the program borrowed from the SA of another drive; it is formed with the assumption that the SA in the drive using it is completely functional. It means, that it has no routines for initialization of adaptive parameters and the translator in memory. Therefore, if the SA is empty, there will be no opportunity to start a drive for reading of data and recording to SA. The situation is very different with HOT SWAP. In that case, the memory contains both adaptive data and the translator, but they belong to a different drive. We can consider one of possible restoration methods the transfer of translator modules (*section 4.6*) and adaptive data (*section 4.4*) from a malfunctioning drive to a donor HDD with a subsequent HOT SWAP. The donor drive at that will stop writing and reading the user data zone, but if it has an alternative SA, it can be restored by running its Self Test from it. For old drives you can do without copying the adaptive data because HDD parameters are very close. Please keep in mind, however, that a magnetic head could be malfunctioning and there is a possibility to damage the amplifier chip. We have also noted that if the loader does not start, HOT SWAP will not help because drive head(s) does not perform its reading function.

The «Restart using internal HDD SA» feature (*see figure. 5.1*) is implemented to automate the start of firmware from the SA and its functions in safe mode *only*. It is intended to provide an opportunity for running the native firmware from disk containing adaptive settings for the current HDA. You can even do without launching the utility. Complete automation is probably not recommended. You can also employ the feature to determine whether a drive reads the SA after loader start without running the utility.



be restored using the «Clearing G-List» command (*section 6.1.4*) because recording a module from another HDD does not always remedy the situation. If you need to keep the defects hidden to G-List, then prior to clearing, you should review G-List, save the defects and as soon as the drive restarts clear G-List again and hide the previously saved defects to G-List.

Before you proceed to repair of modules, you should make sure that sector recording to the SA functions correctly. As a matter of fact, LDR file used to start a drive does not initialize firmware completely and that may result in errors in its operation and possible inoperability of the writing head. We have noted a curious fact: if a drive displays its serial number after loader start, then the recording function has definitely been initialized; if the drive refuses to write data it means that the recording element is damaged (there is no software solution to that situation).

There are two methods to test recording:

- ◆ Execute the «SA writing test» command (*section 6.1.2.1.5*). The test consists of two parts: loading of adaptive data from module PN=1Eh and checking the opportunity for recording to the SA by writing any single sector with random contents to an unused SA part called «swap1». Alternatively, you can select the «Initialize SRV» from the utility start-up dialog (*see figure. 5.1*).
- ◆ Load the U\_LIST module in the interactive mode and change any byte in it. Then write the module and read it again. If the module has been read successfully, then recording functions normally and you should return the modified byte to its initial value and write it back. We propose the U\_LIST module because it has 4 within a single SA copy and a drive will start if at least one of those copies is readable.

There is no way to determine for sure that recording to the SA is possible. E.g., a drive may stop recording to the SA after some time.

**Warning!** Save all modules from a drive prior to recording anything to it. That requirement is determined by unstable drive behavior during recording to its SA. It means that in case of problems with adaptive data one module can be written over another! That will lead to loss of service data, which will be unrecoverable, if the data hasn't been saved!

What should be done when the «SA writing test» fails? Let us examine the problems, which may occur during the test.

- ◆ The writing test fails because the loaded firmware is incompatible with the HDA. You should create a loader right from that drive or use after loader start the «Restart using internal HDD SA» feature (5).
- ◆ If the PN=1Eh module is damaged, the loading of adaptive data will terminate with an error, which will definitely prevent correct recording to the SA. In case of a recording shift no operations with the service data will be possible; that may happen because of a drive failure during the loading of adaptive information.

If unreadable sectors in the SA do not disappear after module recording, then you should: read code and data module groups and write them after that. A situation is possible, when writing to SA in one location damages data integrity in another. For higher reliability we recommend making backup copies of the SA and two groups of modules: code and data. Ignore their reading errors during the backup procedure.

So far restoration of the SA is impossible without revival of the recording function. Of course, there is an opportunity to use HOT SWAP, but it produces results in rare cases. Anyway, repair of such a drive will be useless. If you are trying to recover the data, probably head replacement might be necessary.

## 8. Software restoration

The utility offers several methods for drive restoration. Specific modes should be selected depending upon your goal. The main modes are:

- ◆ Restoration of the SA functionality (SA testing, overwriting or recovery of damaged modules)
- ◆ Data recovery without restoration of SA to a functional state (LDR file start, HOT SWAP)
- ◆ Relocation of defects while scanning a drive with utility tools (surface scanning, addition of defects to a defect list)
- ◆ Tuning of adaptive parameters and reassigning of defects using the drive's internal Self Test routing.



The utility uses the techno command to hide defects. The command may not work properly for a few reasons:

- ◆ Problems with sections of the PN=33h module. Their solution requires the SA testing and recovery feature to restore the headers of HLists.
- ◆ Difference between translator module copies within the active SA.
- ◆ Problems with stable recording to the SA.

We would also like to observe that greater number of defects reassigned to a defect list make the process of adding new defects lower. It follows from the fact that a drive sorts the PN=33h module from the beginning during each step of defect relocation, so numerous defects make the sorting longer.

### 8.2.1. Defects in SA

The utility allows relocation of defects in the SA in case, when they do not prevent normal drive start-up. Defects are reassigned using the drive command, which functions normally only when translator modules and module PN=33h are functional. The relocation process initiated from the «SA testing and recovery» mode is as follows: the utility reads SA modules, reassigns a defect, then writes modules back to avoid a shift, which would occur because of defect relocation.

In case of translator recalculation, however, the utility takes into account the SA defects. There is also an opportunity to hide a previously compiled or manually prepared table of SA defects using the «Reassign SA defects» (*section 6.1.4*) command. The command does not move the modules; this task should be accomplished manually by overwriting them (except for the translator modules): U\_LIST, AT\_PDL and RZTBL.

Reassignment of defects in the SA has some problems. The main problem is manifested in the fact that a drive has no features for UBA conversion to PCHS. As a result, the conversion has to be performed by the utility to allow using of the command for defects assignment provided by the drive. There is a very high probability of a mismatch between the zone table and the actual start of UBA translation for the SA. Therefore, the conversion produces an incorrect result and defect relocation makes the drive unable to interpret the U\_LIST module preventing it from normal start. So far the relocation task is solved only for cases, when SA start matches the zone beginning in the zone allocation table. This condition is usually met in N40P.

## 8.3. Typical cases of data recovery

Very rarely incorrect information in G-List may prevent a drive from starting or cause it to hang although the header and checksum are valid. A started LDR file would allow G-List viewing. If you attempt to clear (recording to the SA must function correctly) G-List in that mode, its clearing will be performed not quite properly: reassignment LBA will be specified as -1. Another, more adequate method would be to write a clean G-List from another drive of the same family with the same capacity.

Quite often you may encounter a situation when translator modules have correct headers and checksums and all other critical modules are in order, but a drive still does not function using the logical parameters. At present, there are two known causes of that situation:

Along with modified headers of the translator modules there is a problem, when random or pseudorandom information accidentally becomes written to the data fields of the translator tables (data from one module may end up in another one). Module headers and checksums at that may appear intact. Automatic recovery of modules in that case will not help restore the drive. You should restore the whole translator.

To perform diagnostics of the situation with invalid data in the translator tables, you should write to the non-functional drive the translator modules (PN=37h, PN=18h and PN=78h) copied from a normal drive with the same capacity. Prior to the procedure, you should back up all SA modules (especially module 33) and make sure that the SA has no reassigned defects. In case of hidden defects in the SA that diagnostics method is inapplicable. If the drive starts normally being accessible via its logical parameters after recording of the translator modules, it means that the problem was caused by invalid information inside the modules.

If the PN=33 module is functional (the utility displays a list of defects after query to P-List) you can perform translator regeneration (*section 6.1.2.1.7*).



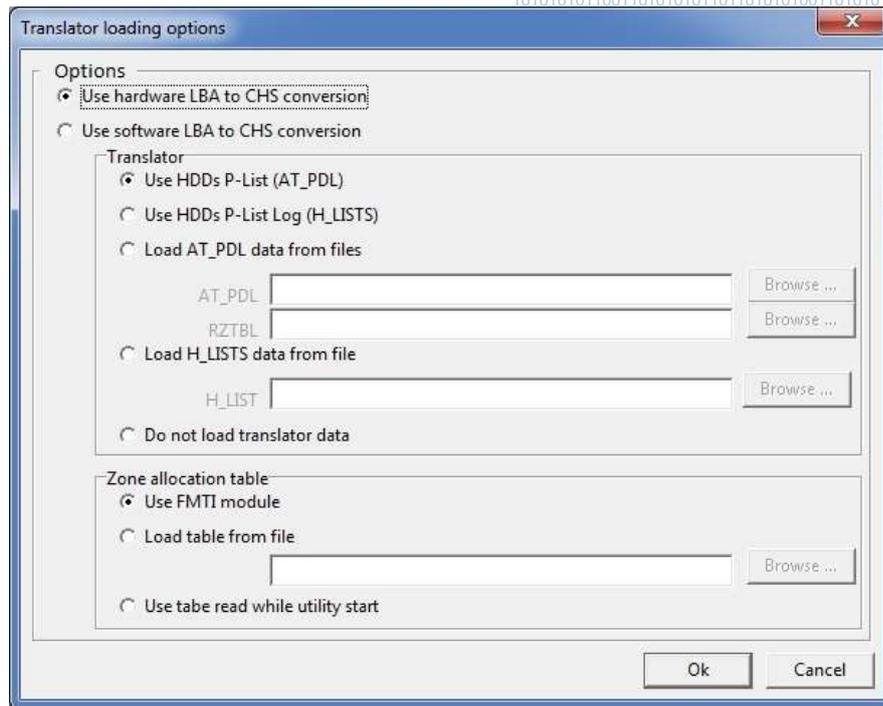


Fig. 8.1. Translator reading options.

## 8.5. Self test

Maxtor drives support self-testing with various functioning rules. The drives supported in the utility can be subdivided into several groups, which differ in terms of ideology behind the Self Test functions. This section covers the testing ideas common for all the drives. Step-by-step method descriptions can be found in *chapter 9*.

A complete and successfully past self-testing makes a drive to recalculate its adaptive parameters, reassign defective sectors, reset S.M.A.R.T. attributes to factory defaults, etc.

You can switch a drive to the self-testing mode by the «Launch Self Test» command (*section 6.2.1.3*). Two methods are available: using the command (immediately) and test start after switching power off/on. Those methods are not identical and they influence the result. If you select the second method, then after the next power-up the drive will make a pause for 30 seconds or 10 minutes (depending upon drive family), before it starts self-testing. While waiting, the drive's LED on PC-3000 PCI tester board blinks at 2 Hz. If you send a reset or HDD ID reading command during that wait period, the drive will switch to its normal operational mode until the next power off/on.

The drive's LED blinks at a different rate while the HDD passes tests.

Before you run the Self Test from the *main service area* you are advised to clear the defect lists and the PN=33h module (the respective feature is described in *section 6.2.1.1*). That is necessary to enable the Self Test routines to hide defects correctly.

The utility allows monitoring of the Self Test progress. You can do that in a running utility by pressing the «Launch monitoring» or in its start-up dialog (*see figure. 5.1*) by selecting the «Launch monitoring» feature.

As a summary, we can suggest two methods for indication of self-testing progress with monitoring of a drive connected or not connected to a PC and powered by an independent supply.

### Procedure of drive start with status monitoring.

- ◆ SELF TEST – Launch Self Test
- ◆ Without leaving the utility and with IDE cable connected to the drive, switch its power off and on.
- ◆ Start View Self Test status, then the self-testing progress report will be displayed on-screen.

### Procedure of self-testing using an independent power supply without a connection to PC.

- ◆ SELF TEST – Launch Self Test
- ◆ Disconnect the drive and connect it to an independent power supply unit.
- ◆ Since electronics boards of Maxtor drives have no LEDs you can connect an external LED as shown in *figure 8.2*.







### 9.3. Diamond Max VL40 or PROXIMA drive family

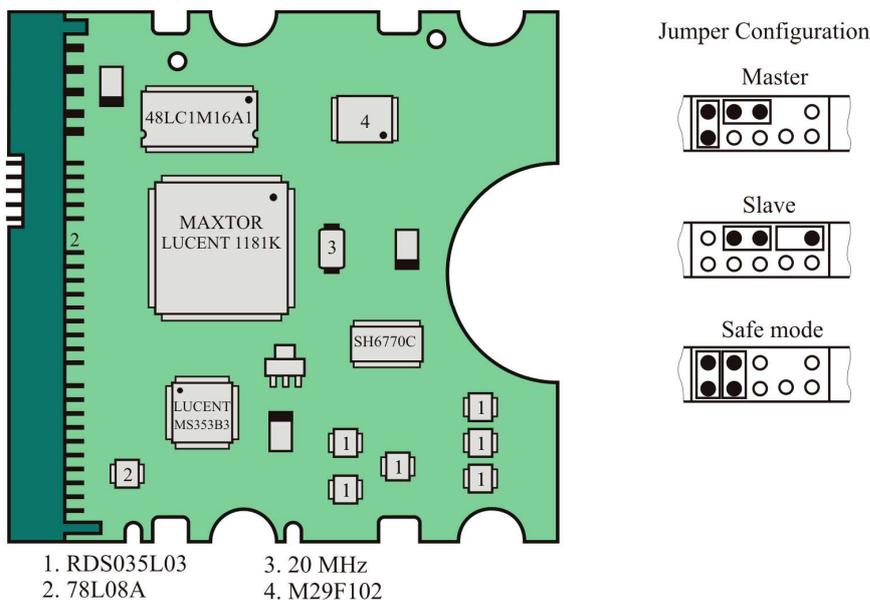


Fig. 9.1. External view of the controller board in MAXTOR PROXIMA drive family.

Table 6. PROXIMA family models

| Family, factory alias.           | Model   | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|----------------------------------|---------|---------------|-------|-----------------------|-------------|
| <b>Diamond Max VL40, PROXIMA</b> | 34098H4 | 40.9          | 2     | 4                     | 80,043,264  |
|                                  | 33073H3 | 30.7          | 2     | 3                     | 60,032,448  |
|                                  | 32049H2 | 20.4          | 1     | 2                     | 40,021,632  |
|                                  | 31535H2 | 15.3          | 1     | 2                     | 30,015,216  |
|                                  | 31024H1 | 10.2          | 1     | 1                     | 20,010,816  |

Table 7. PROXIMA family specifications

| Parameter                              | Value  |
|--|--|
| Group of drive families (architecture) | DSP  |
| Motor rotational speed                 | 5400 RPM   |
| LBA48 support                          | No   |
| Preamplifier                           | –  |
| HDA                                    | Full-size <sup>1</sup>                                       |
| ROM                                    | Type: parallel; label: M29F102BB; indication on board: U303. |
| LDR algorithm                          | ROM, SoftReset, OVLs, SoftReset                              |
| Self Test start.                       | Without script modification                                  |
| ALT-SA present                         | No   |
| Self Test wait period                  | 30 seconds   |
| Serial port for Self Test monitoring   | No   |

<sup>1</sup> – The case allows installing up to three disks.

### 9.4. Diamond Max Plus 60 or RIGEL drive family

This family uses G\_List format different from the standard. Therefore, the information output by the «G-List reading» command will be not quite precise. Still, defects will be added to G-List correctly. Automatic recovery of the G-list module will also function correctly.

If such drive starts correctly but cannot be accessed using LBA you should write the AT\_XAL module from a functional HDD.

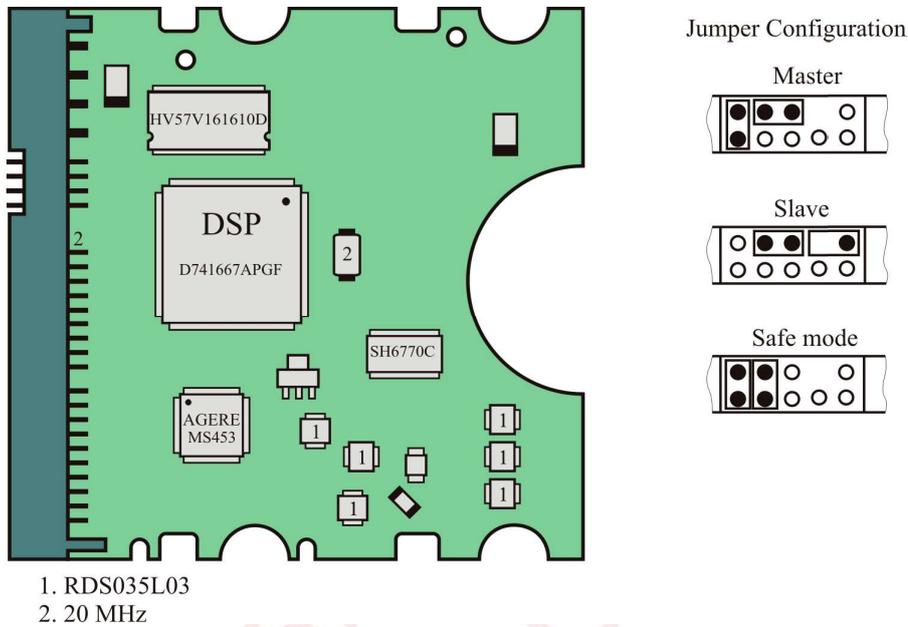


Fig. 9.2. External view of the controller board in MAXTOR RIGEL drive family.

Table 8. RIGEL family models

| Family, factory alias             | Model   | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|-----------------------------------|---------|---------------|-------|-----------------------|-------------|
| <b>Diamond Max Plus 60, RIGEL</b> | 5T060H6 | 61.5          | 3     | 6                     | 120,103,200 |
|                                   | 5T040H4 | 40.9          | 2     | 4                     | 80,043,264  |
|                                   | 5T030H3 | 30.7          | 2     | 3                     | 60,030,432  |
|                                   | 5T020H2 | 20.4          | 1     | 2                     | 40,021,632  |
|                                   | 5T010H1 | 10.2          | 1     | 1                     | 20,010,816  |

Table 9. RIGEL family specifications

| Parameter                              | Value  |
|--|--|
| Group of drive families (architecture) | DSP  |
| Motor rotational speed                 | 7200 RPM   |
| LBA48 support                          | No   |
| Preamplifier                           | –  |
| HDA                                    | Full-size  |
| ROM type                               | Type: parallel; label: M29F102BB; indication on board: U303. |
| LDR algorithm                          | ROM, SoftReset, OVLs, SoftReset                              |
| ALT-SA present                         | No   |
| Self Test start                        | Without script modification                                  |
| Self Test wait period                  | 30 seconds   |
| Serial port for Self Test monitoring   | No   |

## 9.5. D531X or NIKE drive family

Table 10. NIKE family models.

| Family, factory alias | Model   | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|-----------------------|---------|---------------|-------|-----------------------|-------------|
| D531X, NIKE           | 2R015H1 | 15.0          | 1     | 1                     | 29,297,520  |
|                       | 2R010H1 | 10.2          | 1     | 1                     | 20,011,824  |

Table 11. NIKE family specifications.

| Parameter                              | Value  |
|--|--|
| Group of drive families (architecture) | DSP  |
| Motor rotational speed                 | 5400 RPM   |
| LBA48 support                          | No   |
| Preamplifier                           | –  |
| HDA                                    | Half-size <sup>1</sup>                                       |
| ROM type                               | Type: parallel; label: M29F102BB; indication on board: U303. |
| LDR algorithm                          | ROM, SoftReset, OVLs, SoftReset                              |
| ALT-SA present                         | No   |
| Self Test start                        | Without script modification                                  |
| Self Test wait period                  | 30 seconds   |
| Serial port for Self Test monitoring   | No   |

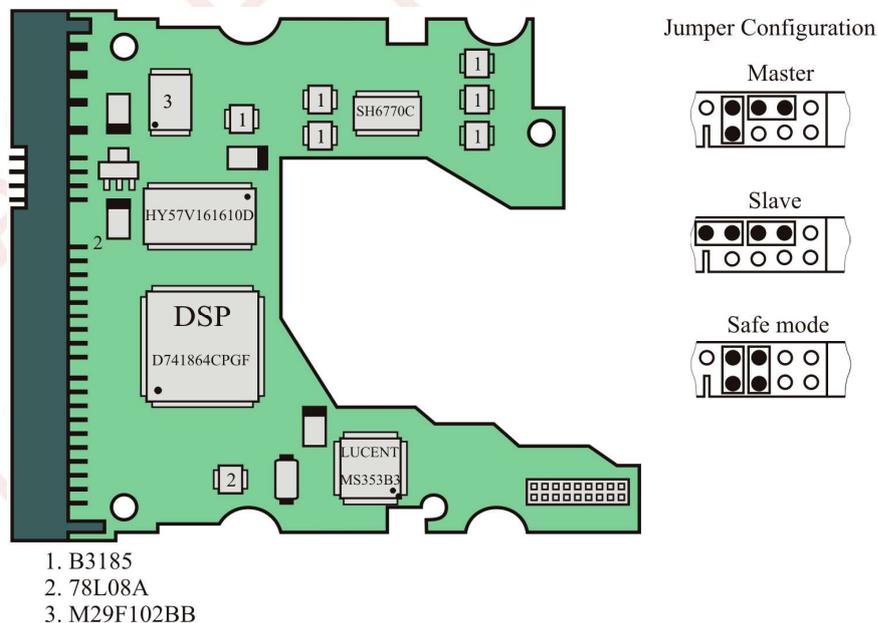


Fig. 9.3. External view of the controller board in MAXTOR NIKE drive family.

<sup>1</sup> – For installation of a single magnetic head only.



## 9.7. ATHENA Poker drive family

Table 14. ATHENA POKER family models

| Family, factory alias  | Model   | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|------------------------|---------|---------------|-------|-----------------------|-------------|
| D541X,<br>ATHENA Poker | 2B020H1 | 20.4          | 1     | 1                     | 40,020,624  |
|                        | 2B015H1 | 15.4          | 1     | 1                     | 30,214,800  |
|                        | 2B010H1 | 10.2          | 1     | 1                     | 20,012,832  |

Table 15. ATHENA POKER family specifications

| Parameter                              | Value  |
|--|--|
| Group of drive families (architecture) | DSP  |
| Motor rotational speed                 | 5400 RPM   |
| LBA48 support                          | Yes  |
| Preamplifier                           | —  |
| HDA                                    | Half-size  |
| ROM type                               | Type: parallel; label: M29F102BB; indication on board: U303. |
| LDR algorithm                          | ROM, SoftReset, OVLs, SoftReset + init SA                    |
| ALT-SA present                         | No.  |
| Self Test start                        | Test ID=89h modification is required.                        |
| Self Test wait period                  | 30 seconds   |
| Serial port for Self Test monitoring   | No   |

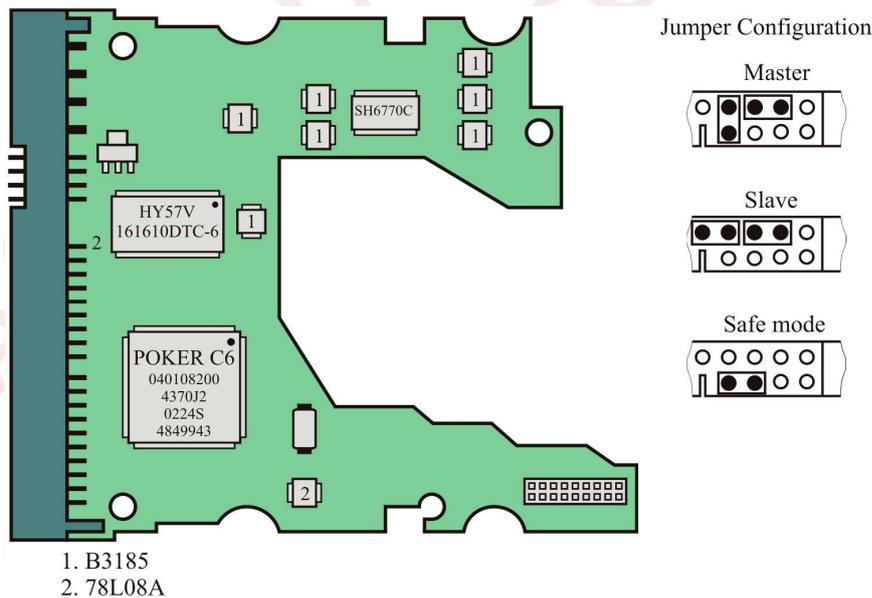


Fig. 9.5. External view of the controller board in Poker-based MAXTOR ATHENA drives.

## 9.8. ROMULUS DSP/Poker drive family

Table 16. ROMULUS DSP family models

| Family, factory alias         | Model   | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|-------------------------------|---------|---------------|-------|-----------------------|-------------|
| D540-4D,<br>ROMULUS DSP/Poker | 4D080H4 | 82.0          | 2     | 4                     | 160,086,528 |
|                               | 4D060H3 | 61.5          | 2     | 3                     | 120,069,936 |
|                               | 4D040H2 | 41.0          | 1     | 2                     | 80,043,264  |
|                               | 4D030H2 | 30.0          | 1     | 2                     | 60,030,432  |







## 9.11. Diamond Max 16 or FALCON drive family

Table 23. FALCON POKER family models

| Family, factory alias  | Model      | Capacity, GB. | Disks | Reading/writing heads | Maximum LBA |
|------------------------|------------|---------------|-------|-----------------------|-------------|
| Diamond Max 16, FALCON | 4R060L0/J0 | 60            | 1     | 2                     | 120,103,200 |
|                        | 4R080L0/J0 | 80            | 2     | 3                     | н.д.        |
|                        | 4R120L0    | 120           | 2     | 4                     | н.д.        |
|                        | 4R160L0/J0 | 160           | 4     | 8                     | н.д.        |

Table 24. FALCON POKER family specifications

| Parameter                              | Value  |
|--|--|
| Group of drive families (architecture) | POKER  |
| Motor rotational speed                 | 7200 RPM   |
| LBA48 support                          | Yes  |
| Preamplifier                           | –  |
| HDA                                    | Full-size  |
| ROM type                               | Type: parallel; label: M29F102BB; indication on board: U303. |
| LDR algorithm                          | ROM, SoftReset, OVLs, SoftReset + init SA                    |
| ALT-SA present                         | No.  |
| Self Test start from ALT-SA            | Without script modification                                  |
| Self Test wait period                  | 30 seconds   |
| Serial port for Self Test monitoring   | No   |

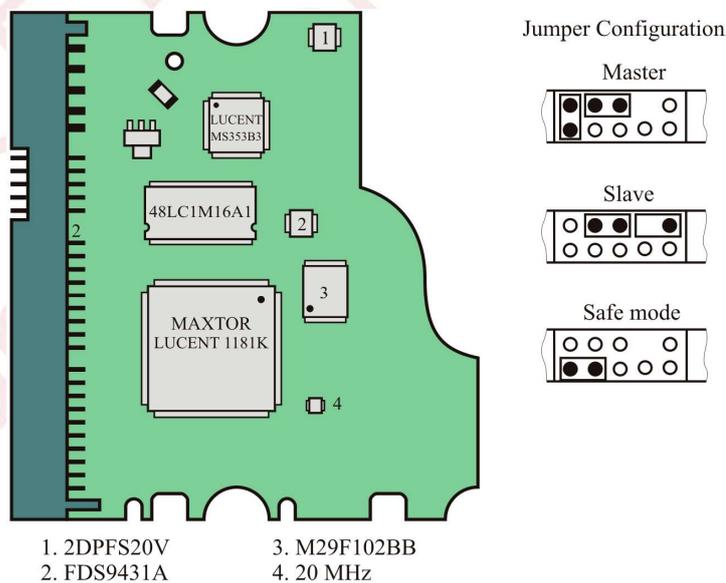


Fig. 9.9. External view of the controller board in MAXTOR FALCON drive family.



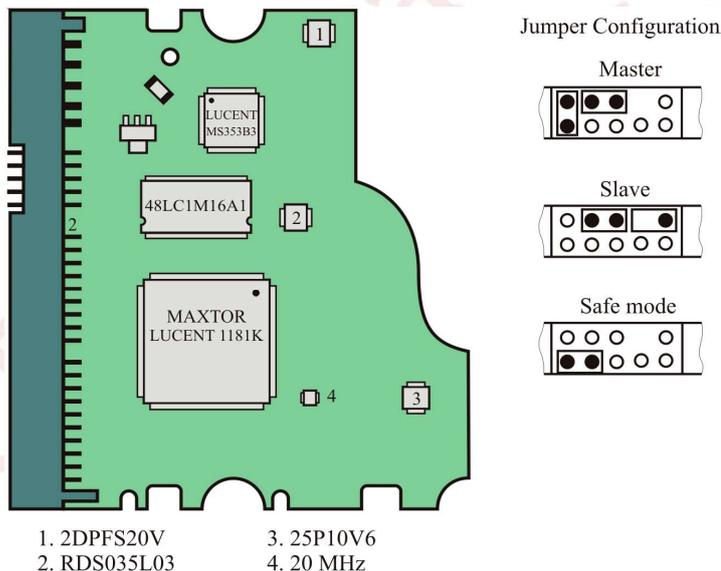




If a drive cannot spin up its spindle motor because of bearing seizure or because heads are stuck to magnetic surfaces, it produces melodic sounds using the voice coil of head actuator.

*Table 30. CALYPSO family specifications*

| Parameter                              | Value   |
|--|---|
| Group of drive families (architecture) | ARDENT  |
| Motor rotational speed                 | 7200 RPM  |
| LBA48 support                          | Yes   |
| Preamplifier                           | MVL5108-TAB, MVLG5108-TAB   |
| ROM                                    | Type: serial; label: ST25P10/ ST25P10A, indication on board:: U401. |
| LDR algorithm                          | Ovl_1B, Ovl_1C, ROM, SoftReset, OVLs, SoftReset                     |
| HDA                                    | Full-size 11 or full-size 3"2                                       |
| Self Test start                        | Self Test start is described in 9.13.2.                             |
| ALT-SA presence                        | Yes, in all models  |
| Self Test wait period                  | 30 seconds  |
| Serial port for Self Test monitoring   | No  |



*Fig. 9.12. External view of the controller board in MAXTOR CALYPSO drive family.*



*Fig. 9.13. STW pin opening.*

<sup>1</sup> – The case allows installing of one disk only.  
<sup>2</sup> – The case is designed to accommodate up to three disks.



|          |                      |    |
|----------|----------------------|----|
| YAR42BWZ | 7F2C                 | 60 |
| YAR42CWZ | 8E89                 | 60 |
| YAR42RWZ | 102F<br>792D         | 60 |
| YAR42NWZ | E22C                 | 60 |
| YAR42KJZ | 1B5C<br>55A4         | 80 |
| YAR43KJZ | AC1D<br>55A4<br>FFE9 | 80 |

Basically, the utility allows the user to work with Serial ATA drives via the SATA HDD adapter to PATA HOST, but for some reason the adapter may not let some techno commands pass. If the adapter does not function as intended, you can install a regular PATA board with the same processor label. However, there is a limitation for drives with capacity greater than 130 GB. They enable the 120 GB limitation in cases, when a PATA board is installed. Actually you can bypass the limitation by loader start.

You can find the basic electronics layout of CALYPSO drives in the Appendix 2.

### 9.13.2. Starting Self Test

In CALYPSO drive family you can start Self Test both from the main or the alternate SA. However, there is a whole group of drives with an alternate SA, which still cannot start Self Test from it. You can identify those models by viewing the script in the alternate SA. If the number of enabled tests is about 7, then Self Test will not start from the alternate SA; instead, it should be started from the main SA. Starting the Self Test from the main SA on a HDD with a complete script in the alternate SA will result in program attempts to create a clean service area despite the fact of being inside a service area, which will cause data corruption in it.

The algorithm for test start from the alternate SA is as follows:

- 1) Start an alternative loader in safe mode.
- 2) Perform «HDD ID reading» (see figure. 5.1) and make sure that firmware string corresponds to NCRxxxx0 template and drive serial number is displayed. If the serial number does not appear, it means most likely an inappropriate alternative loader (you should try using another) or corruption in the alternate SA. Self Test start in that case will produce no results.
- 3) Launch the utility and check the «Utility status», use it to compare the checksum of the loaded program and [ROM\_SA]. If they do not match, then immediately create a loader from the connected drive and use it to start the drive. Alternatively, you can add all modules to the database and then start the loader from database (you should execute the «Rebuild new list ..» command first, because a new program has been added to the database). We have noted, that programs in the alternate SA are identical, so if a program with a specific ROM checksum is already present in the database, then loader creation is not obligatory.
- 4) Now switch to the Self Test mode ([Ctrl]+[Alt]+[3]) and estimate the number of tests in script. If there are few tests (7 or so), then testing start is impossible – you are dealing with a drive, which cannot run Self Test from ALT-SA.
- 5) Modify the script. Use the «NOP» button to convert into comments all «Wait for external event» tests ID=06h (a script usually contains 2 of them), and the loop (cycle start and stop tests acting as a frame for expectation of an external event), if present. Besides, you should comment the first ID=90h test from the beginning using the «NOP» button. Utility developers considered it inexpedient to create an automatic mode for disabling of tests.
- 6) Save the modified script to drive and to a file (to load it conveniently in case of monitoring start from the initial utility dialog).
- 7) Perform the «Launch Self Test» command having selected a delayed start (at next power-up) and disabled monitoring.
- 8) Switch the drive's power supply off and on. Use the alternate loader again to start it. Then leave the device for 30 seconds because it will not blink, but in 30 seconds it will start the tests. The testing procedure will continue for approximately 5 minutes. Then the drive will restart using the ID=89h test to switch to the main service area and begin blinking with its LED. The blinking will continue for 30 seconds. Then the drive will proceed with tests from the main service area. When it restarts, you can interrupt testing and check the changes in the main service area.
- 9) The tests performed further will be taken from the main SA.





